

STATE OF COLORADO

Division of Real Estate
Erin Toll
Director

1560 Broadway, Suite 925
Denver, Colorado 80202
Telephone (303) 894-2166
FAX (303) 894-2683
www.dora.state.co.us/real-estate

Department of Regulatory Agencies
D. Rico Munn
Executive Director



Bill Ritter, Jr.
Governor

Mortgage Broker Complaint Form

All complaints must be submitted online, through the mail or via fax before the review process can begin. We cannot take complaints over the phone.

General Information:

- The Division will review all complaints.
- The Division may investigate the activities of a registrant or other person that present grounds for disciplinary action.
- The Division can suspend, revoke or deny a registration.
- The Division can refer cases to the Attorney Generals office or a local district attorney.

Please complete in detail, as much information as you can. Fields marked with this symbol ▶ are required.

1. Information About You:

▶ First Name: _____ Middle Initial: _____ ▶ Last Name: _____

▶ Mailing Address: _____
(Number and Street) (Apt, Suite, Unit) (City) (State) (Zip Code)

▶ Phone Number: (____) _____ Fax: (____) _____

E-Mail address: _____

2. **Whom is the complaint against?** It is very helpful if you provide the mortgage broker registration number. (search our online system to find the registration number https://eservices.psiexams.com/index_login.jsp).

▶ First Name: _____ Middle Initial: _____ ▶ Last Name: _____

▶ Mailing Address: _____
(Number and Street) (Apt, Suite, Unit) (City) (State) (Zip Code)

Registration Number: _____

Phone Number: (____) _____ Fax: (____) _____

E-Mail address: _____

3. Please select all that apply from the list below. You must select at least one reason.

- The mortgage broker does not have a surety bond.
- Someone is practicing, but is not a registered mortgage broker.
- The mortgage broker filed an application with the Division containing material misstatements of fact or omitted any disclosure required.
- The mortgage broker has been convicted of or pled guilty or nolo contendere to a crime involving fraud, deceit, material misrepresentation, theft, or the breach of a fiduciary duty within the last five years.
- The mortgage broker had a license, registration, certification issued by any state revoked or suspended for fraud, deceit, material misrepresentation, theft, or the breach of a fiduciary duty.
- Property is in foreclosure or has been foreclosed upon.
- Other, please explain _____

4. Describe in detail the complaint:

Describe what you are complaining about.

- List events in chronological order. If you cannot remember the exact time and date, try to remember whether it was near some special event or day. Be as specific as you can.
- Explain what happened. Be as specific and detailed as possible. (who, what, where, when, why and how).

Date	Event

Attach additional sheets if needed.

5. Witnesses:

a) Witness Name: _____

Address: _____
(Number and Street) (Apt, Suite, Unit) (City) (State) (Zip Code)

Phone Number: (____) _____ E-mail: _____

b) Witness Name: _____

Address: _____
(Number and Street) (Apt, Suite, Unit) (City) (State) (Zip Code)

Phone Number: (____) _____ E-mail: _____

Attach more witnesses, if necessary, on a separate sheet of paper.

If you are currently represented by an attorney in this matter, provide the following (as applicable):

Attorney Name: _____

Law Firm Name: _____

Mailing Address: _____
(Number and Street) (Apt, Suite, Unit) (City) (State) (Zip Code)

Phone Number: (____) _____ Fax: (____) _____

E-Mail address: _____

- a) Have you filed a lawsuit related to this complaint? Yes No If yes, attach a copy of the complaint.
- b) Has any lawsuit relating to this complaint been dismissed? Yes No If yes, attach a copy of all pertinent court documents including the final court order dismissing or otherwise adjudicating the case.
- c) Do you have a valid judgment against the person named in this complaint? Yes No If yes, attach a copy of the pertinent court documents including the final judgment.
- d) Have you participated in mediation, arbitration or a settlement agreement in your civil case? Yes No
- e) If yes, explain what happened and attach the written arbitration findings, settlement agreement or other relevant documents.

7. By submitting this form to the Division of Real Estate, I certify that the statements and information supplied by me are true and accurate to the best of my knowledge.

Signature

Date:

You may complete this form online at: <http://www.dora.state.co.us/real-estate/index.htm>

You may mail this form to:

Division of Real Estate
Attn: Mortgage Broker Complaints
1560 Broadway, Suite 925
Denver, CO 80202

You may fax this form to (303) 894-2683.